

Report on the

Special Report on Public Education Institutions' Legal Expenses, Judgments and Awards

October 1, 2014 through September 30, 2017

Filed: February 23, 2018



Department of Examiners of Public Accounts

50 North Ripley Street, Room 3201
P.O. Box 302251
Montgomery, Alabama 36130-2251
Website: www.examiners.alabama.gov

Ronald L. Jones, Chief Examiner

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Ronald L. Jones
Chief Examiner

State of Alabama
Department of
Examiners of Public Accounts

P.O. Box 302251, Montgomery, AL 36130-2251
50 North Ripley Street, Room 3201
Montgomery, Alabama 36104-3833
Telephone (334) 242-9200
FAX (334) 242-1775

Representative John Rogers
Alabama State House
Montgomery, Alabama 36130

Dear Representative Rogers:

Transmitted herewith is a Special Report on Public Education Institutions' Legal Expenses, Judgments and Awards, prepared by the Department of Examiners of Public Accounts. The exhibits included in this report were prepared from unaudited data furnished by 43 public education institutions in Alabama in response to a request for amounts that were paid for legal expenses, judgments and awards during the 2016-2017 fiscal year. Included is comparative information for the 2014-2015 and 2015-2016 fiscal years.

Please contact me if you need further assistance.

Sincerely,

Ronald L. Jones
Chief Examiner

Enclosure

Summary

The accompanying information on public education institutions' legal expenses, judgments and awards was obtained as a result of requests made to all postsecondary institutions, universities, Alabama Institute for Deaf and Blind and Marine Environmental Sciences Consortium.

	<u>Number</u>		
Postsecondary Institutions	30		
Universities	11		
Other Education Institutions	2		
Total	<u>43</u>		

	<u>2014-2015 FY</u>	<u>2015-2016 FY</u>	<u>2016-2017 FY</u>
<u>Postsecondary Institutions</u>			
Legal Expenses	\$ 999,394.88	\$ 1,899,502.61	\$ 839,890.16
Awards	58,233.19	406,854.66	549,215.21
Totals	<u>\$ 1,057,628.07</u>	<u>\$ 2,306,357.27</u>	<u>\$ 1,389,105.37</u>
<u>Universities</u>			
Legal Expenses	\$ 12,398,922.13	\$ 14,122,142.13	\$ 13,495,668.25
Awards	484,175.66	383,223.65	187,011.49
Totals	<u>\$ 12,883,097.79</u>	<u>\$ 14,505,365.78</u>	<u>\$ 13,682,679.74</u>
<u>Other Education Institutions</u>			
Legal Expenses	\$ 71,029.06	\$ 65,712.59	\$ 95,528.66
Awards		27,000.00	
Totals	<u>\$ 71,029.06</u>	<u>\$ 92,712.59</u>	<u>\$ 95,528.66</u>

The following exhibits are included in this report:

- Exhibit #1 Legal expenses, judgments and awards paid by Postsecondary Institutions.
Included are the amounts for the period October 1, 2014 through September 30, 2017.
- Exhibit #2 Legal expenses, judgments and awards paid by Universities.
Included are the amounts for the period October 1, 2014 through September 30, 2017.
- Exhibit #3 Legal expenses, judgments and awards paid by Other Education Institutions.
Included are the amounts for the period October 1, 2014 through September 30, 2017.

***Postsecondary Institutions' Legal Expenses, Judgments and Awards
October 1, 2014 through September 30, 2017***

Institution	2014-2015 FY	
	Expenses	Awards
Alabama Industrial Development Training Institute (*)	\$	\$
Alabama Southern Community College (**)		
Bevill State Community College	42,728.35	
Bishop State Community College	3,158.35	25,000.00
John C. Calhoun Community College	82,741.76	
Central Alabama Community College	103,468.09	
Chattahoochee Valley Community College	70,193.10	
Coastal Alabama Community College (**)		
J. F. Drake State Technical College	35,047.37	
Enterprise State Community College	40,142.31	
James H. Faulkner State Community College (**)	6,370.00	
Gadsden State Community College	38,193.74	1,963.37
J. F. Ingram State Technical College	57,777.35	
Jefferson Davis Community College (**)		
Jefferson State Community College		
T. A. Lawson State Community College	22,534.80	12,994.82
Lurleen B. Wallace Community College		
Marion Military Institute		
Northeast Alabama Community College	16,102.50	
Northwest-Shoals Community College	48,000.00	
Reid State Technical College		
Shelton State Community College	100,485.14	
Snead State Community College	17,109.85	
Southern Union State Community College		
H. Councill Trenholm State Technical College	60,639.19	
George C. Wallace Community College - Dothan		
Wallace State Community College - Hanceville	98,309.06	
George Corley Wallace State Community College - Selma	156,393.92	18,275.00
Alabama College System Reserve Fee		
Alabama Technology Network		
Total	\$ 999,394.88	\$ 58,233.19

(*) - The Alabama Industrial Development Training Institute was placed under the direction and control of the Alabama Department of Commerce effective May 7, 2012.

(**) - Alabama Southern Community College, James H. Faulkner State Community College, and Jefferson Davis Community College were merged to become Coastal Alabama Community College effective January 11, 2017.

Note: Amounts under \$15,000 are included if provided.

2015-2016 FY		2016-2017 FY	
Expenses	Awards	Expenses	Awards
\$	\$	\$	\$
		22,711.36	
60,524.33		75,488.52	
356,459.68		271,531.93	
270,420.03		35,924.82	
254,733.79		22,559.70	
42,545.96			
		18,695.44	
311,109.23	342,885.70		
25,456.50			
25,986.88		4,231.50	
12,800.79	26,415.30		
73,411.71			
4,795.86			
274.40			
25,633.89		722.10	
10,672.79			
55,858.50	275.00	48,000.00	
64,664.68		104,722.21	75,000.00
435.00		1,409.61	
12,823.77	6,858.00		
27,611.38	21,711.68		
238,819.57	208.60	190,835.65	
24,463.87	8,500.38	43,057.32	
\$ 1,899,502.61	\$ 406,854.66	\$ 839,890.16	\$ 75,000.00

Universities' Legal Expenses, Judgments and Awards
October 1, 2014 through September 30, 2017

Institution	2014-2015 FY	
	Expenses	Awards
Alabama A&M University	\$ 629,908.26	\$ 25,702.05
Alabama State University	1,002,691.83	
Athens State University	95,998.63	
Auburn University	2,929,420.00	421,440.00
Jacksonville State University	280,145.59	
Troy University System	57,172.08	11,500.00
University of Alabama System	6,022,617.80	25,533.61
University of Montevallo	58,630.76	
University of North Alabama	177,877.64	
University of South Alabama	1,068,410.54	
University of West Alabama	76,049.00	
Total	<u>\$ 12,398,922.13</u>	<u>\$ 484,175.66</u>

Note: Amounts under \$15,000 are included if provided.

2015-2016 FY		2016-2017 FY	
Expenses	Awards	Expenses	Awards
\$ 770,784.80	\$ -	\$ 548,302.46	\$ -
1,002,691.83		725,497.11	
78,232.15		45,884.41	
3,427,512.00	360,800.00	2,866,951.00	94,050.00
318,999.97		287,808.05	
67,756.83	6,722.65	68,273.82	9,286.65
6,927,075.26		7,277,530.52	
56,722.19		56,857.76	
309,781.81		349,034.83	
1,080,509.29		1,210,818.29	
82,076.00	15,701.00	58,710.00	
\$ 14,122,142.13	\$ 383,223.65	\$ 13,495,668.25	\$ 103,336.65

***Other Education Institutions' Legal Expenses, Judgments and Awards
October 1, 2014 through September 30, 2017***

Institution	2014-2015 FY	
	Expenses	Awards
Alabama Institute for Deaf and Blind	\$ 35,844.55	\$ -
Marine Environmental Sciences Consortium	35,184.51	
Total	<u>\$ 71,029.06</u>	<u>\$ -</u>

Note: Amounts under \$15,000 are included if provided.

2015-2016 FY		2016-2017 FY	
Expenses	Awards	Expenses	Awards
\$ 65,712.59	\$ 27,000.00	\$ 95,528.66	\$ -
\$ 65,712.59	\$ 27,000.00	\$ 95,528.66	\$ -